

Office of the Secretary of State

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OREGON SECRETARY OF STATE

AUDITS DIVISION ACCOMPLISHMENTS

2017

March

1. Oregon Business Development Department: Special Public Works Fund and Water Fund for the Fiscal Year Ended June 30, 2016
2. Oregon Public Employees Retirement System: Audit of Schedule of Employer Allocations for the Fiscal Year Ended June 30, 2016
3. Consumer and Business Services, Department of: Oregon Health Insurance Marketplace Financial Statements for the Fiscal Year Ended June 30, 2016
4. Consumer and Business Services, Department of: Oregon Health Insurance Marketplace: Fiscal Year 2016 Compliance Audit
5. Columbia River Gorge Commission: July 1, 2015 through June 30, 2016
6. Oregon Department of Transportation: ODOT should better scrutinize construction costs and project changes by tracking line item bids with abnormally high or low prices
7. Oregon Municipal Corporations: Summary of Fiscal Year 2015 Financial Reporting

April

8. State of Oregon Statewide Single Audit Report: For the Fiscal Year Ended June 30, 2016

May

9. Oregon Health Authority: Auditor Alert — The Oregon Health Authority May Be Providing Medicaid Benefits to Ineligible Recipients
Impact: Auditor Alert wins Excellence in Accountability Award from the National State Auditors Association
(Source: <http://www.oregon.gov/newsroom/Pages/NewsDetail.aspx?newsid=2745>)
10. Oregon Health Authority: Automated Medicaid eligibility is processed appropriately, yet manual input accuracy and eligibility override monitoring needs improvement

July

11. State of Oregon Financial Condition, Fiscal Year 2016
12. Department of Energy: Small Scale Energy Loan Program for the Year Ended June 30, 2016
13. Keeping the State of Oregon Accountable, Fiscal Year 2016
14. Health Authority: Safe Drinking Water Revolving Loan Fund Agreed Upon Procedures for the Fiscal Year Ended June 30, 2016
15. Environmental Quality, Department of: Clean Water State Revolving Fund Loan Program for the Fiscal Year Ended June 30, 2016

August

16. SAIF Corporation: Financial Statements and Supplementary Schedules as of and for the Years Ended December 31, 2016 and 2015, and Report of Independent Auditors, Contract Audit

17. SAIF Corporation: Financial Statements - Statutory Basis As of and for the Years Ended December 31, 2016 and 2015, Supplementary Schedules As of December 31, 2016 and Report of Independent Auditors, Contract Audit
18. Secretary of State: Government Waste Hotline: Calendar year 2016

September

19. Oregon Intermediate Term Pool: An Investment Pool of the State of Oregon for the Year Ended June 30, 2017
20. Department of Administrative Services: Should Enhance Succession Planning to Address Workforce Risks and Challenges
21. Oregon Local Government Intermediate Fund: An Investment Pool of the State of Oregon for the Year Ended June 30, 2017
22. Oregon Short-Term Fund: An Investment Pool of the State of Oregon for the Year Ended June 30, 2017
23. Oregon Department of Transportation: The Oregon Fuels Tax System Accurately Assesses and Collects Fuels Taxes for State and Local Jurisdictions

October

24. Oregon Department of Veterans' Affairs: Veterans' Loan Program Annual Financial Report - Enterprise Fund for the Fiscal Year Ended June 30, 2017
25. Department of Human Services: Aging and People with Disabilities: Consumer-Employed Provider Program Needs Immediate Action to Ensure In-Home Care Consumers Receive Required Care and Services
Impact: Audit helps push through legislation to strengthen training and standards for home care workers (Source: <https://www.thelundreport.org/content/bill-requiring-training-home-care-workers-advances>)

November

26. Oregon Housing and Community Services Department: Annual Financial Report Enterprise Funds for the Year Ended June 30, 2017
27. State Lands, Department of: Common School Fund Annual Financial Report for the Fiscal Year Ended June 30, 2017
28. Health Authority, Oregon: OHA Should Improve Efforts to Detect and Prevent Improper Medicaid Payments
Impact: OHA completed eligibility reexaminations due to both the audit and the auditor alert, and found thousands of people ineligible who were subsequently removed from the system. The agency also promised to make other changes recommended in the audit. (Source: http://www.oregonlive.com/politics/index.ssf/2017/11/audit_oregon_medicaid_program.html)

December

29. Oregon State Lottery: Comprehensive Annual Financial Report Enterprise Fund for the Fiscal Year Ended June 30, 2017
30. Oregon Public Employees Retirement System: Comprehensive Annual Financial Report for the Fiscal Year Ended June 30, 2017
31. Department of Education: Stronger Accountability, Oversight, and Support Would Improve Results for Academically At-Risk Students in Alternative and Online Education
32. Department of Education: Should Take Further Steps to Help Districts and High Schools Increase Oregon's Graduation Rate
33. Higher Education Coordinating Commission: Needs to Address Weaknesses in Procurement Practices

2018

January

34. Department of Human Services: Child Welfare System: Foster Care in Oregon: Chronic management failures and high caseloads jeopardize the safety of some of the state's most vulnerable children
Impact: Gov. Kate Brown requests \$14.5 million to hire almost 200 caseworkers in the Department of Human Services' child welfare program
(Source: <https://www.statesmanjournal.com/story/news/2018/02/22/brown-seeks-14-5-m-more-foster-care-caseworkers-department-human-services/363427002/>)
35. Consumer and Business Services, Department of: Oregon Health Insurance Marketplace Fiscal Year 2017 Compliance Audit
36. Office of Emergency Management: The State Must Do More to Prepare Oregon for a Catastrophic Disaster
37. Columbia River Gorge Commission: July 1, 2016 through June 30, 2017
38. Department of Environmental Quality: Should Improve the Air Quality Permitting Process to Reduce Its Backlog and Better Safeguard Oregon's Air
Impact: Gov. Kate Brown signs Cleaner Air Oregon, a bill aimed at helping DEQ obtain the resources necessary to address the issues pointed out in the audit. (Source: <https://oeconline.org/whats-next-for-air-toxic-controls/>)

February

39. Revenue, Department of: GenTax Accurately Processes Tax Returns and Payments, but Logical Access and Disaster Recovery Procedures Need Improvement
40. Oregon Liquor Control Commission: Cannabis Information Systems Properly Functioning but Monitoring and Security Enhancements are Needed
41. Consumer and Business Services, Department of: Oregon Health Insurance Marketplace Financial Statements for the Fiscal Year Ended June 30, 2017

March

42. Oregon Business Development Department: Special Public Works Fund and Water Fund for the Fiscal Year Ended June 30, 2017
43. Oregon Municipal Corporations: Summary of Fiscal Year 2016 Financial Reporting

April

44. Health Authority, Oregon: Safe Drinking Water Revolving Loan Fund Agreed Upon Procedures for the Fiscal Year Ended June 30, 2017
45. Oregon Public Employees Retirement System: Audit of RHIPA Schedule of OPEB Amounts for the Year Ended June 30, 2017
46. Oregon Public Employees Retirement System: Audit of RHIA Schedule of Employer Allocations and OPEB Amounts by Employer for the Year Ended June 30, 2017
47. Oregon Public Employees Retirement System: Audit of Pension Schedule of Employer Allocations and Pension Amounts by Employer for the Year Ended June 30, 2017
48. State of Oregon Statewide Single Audit Report: For the Fiscal Year Ended June 30, 2017

May

49. Environmental Quality, Department of: Clean Water State Revolving Fund Loan Program for the Fiscal Year Ended June 30, 2017
50. Oregon State Police: Forensic Division Has Taken Appropriate Steps to Address Oregon's Sexual Assault Kit Testing Backlog