



OREGON **AUDIT** SUMMIT

COMING TOGETHER

OCTOBER 19-20, 2023
WILSONVILLE, OREGON



NASACT

National Association of State
**Auditors, Comptrollers
and Treasurers**

Welcome!

On behalf of the Oregon Secretary of State's Office and the Audits Division, welcome to the **very first Oregon Audit Summit!**

It's been an honor serving as Oregon's 29th Secretary of State. I spent most of my career in government auditing, so I know how valuable these conferences can be, not only as an opportunity for professional development, but as a way to grow and strengthen the auditing community at large.

This Summit could not take place were it not for the National Association of State Auditors, Comptrollers and Treasurers (NASACT). I thank them for their support and assistance with this event. I want to extend my thanks to Audits Director Kip Memmott for the steady leadership he has provided not only to the Audits Division, but the entire Secretary of State's Office. I also want to recognize the dedication of the Oregon Audits Division staff, who worked so hard in organizing and planning this event: Ian Green, Bentley Walker, and Julie Moffenbier.

I hope you enjoy your time and get the chance to connect, share ideas and come together!

LaVonne Griffin-Valade, Oregon Secretary of State



Thank you for joining us for the inaugural Oregon Audit Summit!



We chose to offer this event free of charge as a service to the Oregon (and broader Pacific Northwest) audit community to provide skill- and relationship-building opportunities. To that end, the theme of this year's summit is "Coming Together." We are pleased to provide this opportunity for members of the Oregon audit community to come together, strengthen our professional relationships, and reignite the passion for our work that brought so many of us to the field.

My gratitude goes to our Secretary of State, LaVonne Griffin-Valade; as a former auditor herself, she understands and appreciates the value of these professional development opportunities! I would also like to thank all of you for your attendance and participation over these two days. Training and CPE opportunities are always useful, but I hope this event will also allow you to feel greater camaraderie with your fellow auditors.

Best regards,

Kip Memmott, Oregon Audits Division Director

General Information

Meals

Both days begin with a hot breakfast buffet with vegetarian and gluten-free options as well as assorted fresh fruit; a variety of pastries, bagels with cream cheese, and muffins; classic breakfast breads; assorted yogurts with granola and dried fruits; and chilled fruit juices. Coffee, decaffeinated coffee, and hot teas will be available.

Thursday's lunch is a Southwest buffet, including carnitas, chicken fajitas, beans and rice; a Southwest green and pasta salad; corn and flour tortillas; and accompaniments and desserts.

Friday's lunch is a Backyard BBQ buffet with BBQ baked chicken and pulled park, mac-n-cheese and baked beans; corn bread, coleslaw, and mixed green salad; and desserts.

Networking event



ComedySportz (CSz) is the country's longest running and best interactive improv comedy experience. It's comedy played as a sport, not comedy ABOUT sports. ComedySportz will offer useful insights to improve auditor's communication and presentation skills, including applying active listening and other improv techniques in a professional setting.

Light appetizers and refreshments to be provided. Attendees will have access to a cash bar.

Wifi login

The network: IHG ONE REWARDS

The password: PDXSO

While wifi is available, bandwidth may be limited during the conference. Please try to download any slides and materials prior to the sessions.

Presentation materials

Presentation slides and materials from each of the speakers, if available, can be accessed on our website: sos.oregon.gov/audits/Pages/audit-summit.aspx

Want to present at the next Oregon Audit Summit?

Email us! auditsummit.sos@sos.oregon.gov

How to get CPE

 conferences i/o

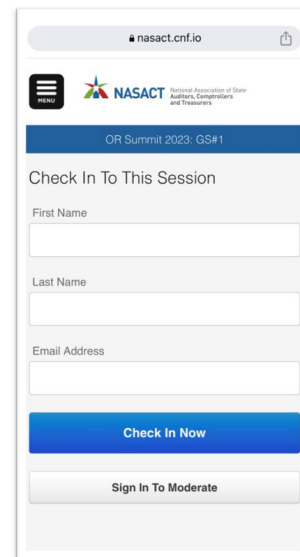
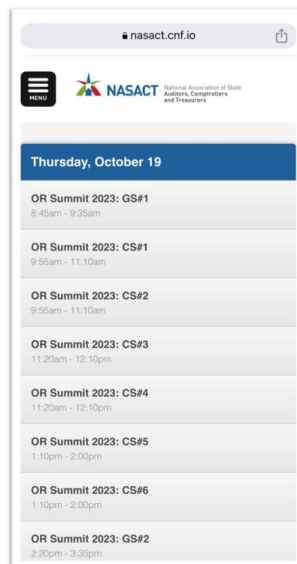
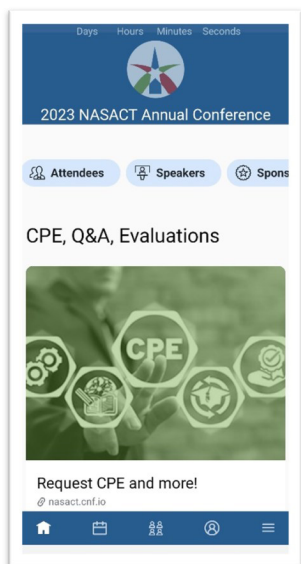


or browse to
nasact.cnf.io

On your phone or computer,
navigate to nasact.cnf.io or
use the QR code.

The conference website is how to check in and check out
for CPE credit and to submit evaluations.

1. Select the session you are attending.
2. Enter your info and click **Check In Now!**
3. At the end of the session, click **Check Out**.
4. After, click **Evaluate This Session** to let us know how we did.



The Check In/Check Out process is how we verify your attendance in a session
and is **required** to get CPE.

If you need to leave before a session is over, **be sure to click Check Out**. We are
able to offer partial CPE credit.

Day 1 Thursday October 19

8—8:45 am

Welcome Breakfast

8:45—9:35 am

General Session #1 — Maple/Dogwood Room

Oregon Elected Auditors: Elected auditors from Multnomah and Washington counties, as well as the City of Portland, will discuss the pressures and benefits of being an elected auditor in the state of Oregon.

Panelists: Simone Rede, Portland City Auditor
Jennifer McGuirk, Multnomah County Auditor
Kristine Adams-Wannberg, Washington County Auditor

Moderator: Cheryl Myers, Oregon Deputy Secretary of State

9:35—9:45 am

Room Change

9:45—11 am

Concurrent Session #1 — Birch Room

Cognitive bias and its impact on decision-making: Audit standards require objectivity, but is it even humanly possible to be objective? the field of Behavioral Economics suggests it isn't. Going beyond implicit bias, this presentation will review several common cognitive biases that impact both personal and organizational decision making.

Presenter: Eric Spivak

Concurrent Session #2 — Maple/Dogwood Room

Addressing equity in your audit work: Auditors from state and local government shops will discuss a variety of approaches to incorporating equity in their audits, including community outreach, audit plan development, in-office training, and specific audit techniques.

Panelists: Raymond de Silva, Meredith Kim, Torrey Sims, Jenny Scott

Moderator: Andrew Love

11—11:20 am

Break

11:20—12:10 pm

Concurrent Session #3 — Aspen Room

How to build a talent pipeline: During this session we will imagine a pipeline that delivers employees to address the talent crisis in government accounting, auditing and finance.

Presenter: Matt Kaufman

Concurrent Session #4 — Birch Room

Internal audit development, a survey of resources: This presentation will go over a survey of resources available to those charged with governance and development of internal audit shops. Resources will be pulled from national, state, private and government sources and will be tailored for the public sector.

Presenters: Bevin Clapper, CEO, Good Governance Solutions LLC
Eli Ritchie, CAE, Oregon DOC

Day 1 Thursday October 19

12:10–1:10 pm Buffet Lunch

Concurrent Session #5 — Birch Room

It's a disaster! Audits and emergency management: This session will look at the complexities of emergency management organizations and how internal and external audit functions help make EM organizations better and communities safer.

Presenters: Amelia Eveland, Lead Auditor, Oregon SOS
Andrew Phelps

1:10–2 pm

Concurrent Session #6 — Maple/Dogwood Room

Strategies for getting your audits seen: This panel, consisting of communication specialists from across the Oregon Secretary of State's divisions, will discuss how to make your audit shop stand apart and how to get your audit better positioned to be seen by media and the public.

Panelists: Laura Kerns, Griffin Walker, Ben Morris, Kierrah Byrd, Carla Axtman

Moderator: Bentley Walker

2–2:30 pm

Break

General Session #2 — Maple/Dogwood Room

2:30–3:45 pm

Improvisation, stories, and why they matter: The world is volatile, uncertain, chaotic and ambiguous. With so much data flying around, what gets people the information they need? Stories. Patrick Short will lead a keynote where you also get to talk, and learn by doing. Your story matters, both to you and the people around you.

Presenter: Patrick Short, GM ComedySportz

3:45–4 pm

First Day Closing Remarks

4–5:45 pm

Evening Reception

ComedySportz will provide entertainment, while light appetizers and refreshments will be provided. Attendees will have access to a cash bar.

Day 2 Friday October 20

8—8:45 am

Welcome Breakfast

8:45—9:35 am

General Session #3 — Maple/Dogwood Room

Emerging issues in government accountability and oversight: This session will provide an overview of recent economic, industry, regulatory and professional developments that impact auditors of state and local governments. Included in this session will be developments from Congress, the U.S. Office of Management and Budget, the government Accountability Office and other regulatory bodies.

Presenter: Kinney Poynter, NASACT Executive Director

9:35—9:45 am

Break

9:45—10:35 am

Concurrent Session #7 — Birch Room

Improv skills for auditors and people who love them: Thursday's keynote gave you a framework for improv skills in the workplace. This breakout is for those who want to experience it more fully, laugh more and play games with meaning. We'll do several fun exercises and reflect on them, connecting them to your work and life beyond work.

Presenter: Patrick Short, GM ComedySportz

Concurrent Session #8 — Aspen Room

Ethics: Use of Office and Conflict of Interest

Presenter: Charles Esparza

10:35—10:50 am

Break

10:50—11:40 am

General Session #4 — Maple/Dogwood Room

The U.S. economic outlook: The economic recovery from the pandemic has been the fastest, and most inclusive in recent memory. However, inflationary economic booms historically don't end well. This cycle has been different at every stage. What can states expect in the years ahead when it comes to their regional economies and public revenues?

Presenter: Josh Lehner

11:40—12:40 pm

Buffet Lunch

Day 2 Friday October 20

General Session #5 — Maple/Dogwood Room

12:40—1:55 pm

Audits in flux: Based on lessons learned during the pandemic, this session will discuss how auditors can focus on their auditee, their office, and themselves to continue producing quality audits while acknowledging the instability we are all facing.

Presenter: Ben Thompson, Deputy Auditor King County (Washington)

1:55—2 pm

Room Change

Concurrent Session #9 — Aspen Room

2—2:50 pm

How to catch and keep a “digital” generation employee: This session will discuss how Gen X, Millennials, and Gen Z differ in what they want from a “job”, how to recruit the different generations, and how to keep them happy once you have them on staff.

Presenter: Bentley Walker, Staff Auditor Oregon SOS

Concurrent Session #10 — Birch Room

Your community by the numbers, an introduction to data.census.gov: Learn how to use data.census.gov to access the most current and relevant demographic, socioeconomic, and housing statistics about your community.

Presenter: Judy Ann Dunne, Data Dissemination Specialist. U.S. Census Bureau

2:50—3:10 pm

Break

General Session #6 — Maple/Dogwood Room

3:10—4 pm

AI and machine learning, exploring the risks and opportunities to the auditing profession: This session will discuss the intersection of Gen AI and human expertise with a special focus on Large Language Models like ChatGPT in government processes. By better understanding the technology and its risks, potential applications, and workforce impacts, we will equip you with the considerations at play. Join us to learn more about the complexities of this quickly evolving landscape and learn how this innovative technology could be an asset for your agency as well.

Presenter: Casey Kopcho, Lead Auditor Oregon SOS

4—4:10 pm

Closing remarks from Kip Memmott, OAD Director, in the Maple/Dogwood Room